

CAHU CANDIDATES-  
SUBMIT 1 ORIGINAL AND 1 COPY

NEIGHBOR ISLAND CANDIDATES-  
SUBMIT 1 ORIGINAL AND 2 COPIES

STATE OF HAWAII  
CAMPAIGN SPENDING COMMISSION

DISCLOSURE REPORT  
CANDIDATE COMMITTEE

COPY

PLEASE TYPE OR PRINT CLEARLY WITH INK (INSTRUCTIONS FOR COMPLETING THE DISCLOSURE REPORT CAN BE FOUND IN THE "GUIDEBOOK FOR CANDIDATE COMMITTEES.")

SECTION I-CANDIDATE AND CANDIDATE COMMITTEE:

(a) Candidate Name:

FRANK T. LOCKWOOD

(b) Committee Name: FRIENDS OF FRANK LOCKWOOD

(c) Mailing Address: 1550 KANAPUU DRIVE, KAILUA, HI 96734

(d) Phone (Bus) 262-2700

(Res) 262-4847

Treasurer's

SECTION II-TYPE OF REPORT:

(See the Schedule of Reporting Dates to complete this section)

☐ 1st Preliminary Primary ☐ Amended ☐ First ☐ Third  
☐ 2nd Preliminary Primary ☐ Short Form <sup>1</sup> ☐ Second ☐ Fourth

☐ Final Primary

☐ Preliminary General

☒ Final Election Period

☐ Supplemental

REPORTING PERIOD

10/24/06

through 11/7/06

SECTION III-SUMMARY OF RECEIPTS AND DISBURSEMENTS  
(Complete Section IV on the Back of this Form Before Completing This Section)

	COLUMN A TOTAL THIS PERIOD	COLUMN B ELECTION PERIOD <sup>2</sup> TOTAL TO DATE
1. Cash on Hand at the Beginning of the Election Period <sup>2</sup> .....	0.00	0.00
2. Cash on Hand at the Beginning of this Reporting Period.....	909.73	
3. Total Receipts (From Line 15).....	9320.49	36212.44
4. Subtotal (Add Lines 2 and 3 for Column A and Lines 1 and 3 for Column B).....	10230.22	36212.44
5. Total Disbursements (not including Unpaid Expenditures) (From Line 19).....	3110.49	29092.71
6. Cash on Hand at the Closing of this Reporting Period (Subtract Line 5 from Line 4)....	7119.73	7119.73
7. Total Loans at the Closing of this Reporting Period.....	23947.44	
8. Total Unpaid Expenditures at the Closing of this Reporting Period.....	0.00	
9. Debts Owed at the Closing of this Reporting Period (Add Lines 7 and 8).....	23947.44	
10. Surplus/Deficit (Subtract Line 9 from Line 6).....	-16827.71	

I hereby certify that the information on this report and all attached Schedules are true, correct and complete to the best of my knowledge.

Candidate Signature

Date

11/29/06

Treasurer Signature

Date

11/29/06

<sup>1</sup> Short Form is checked if the candidate is filing a Preliminary, Final or Supplemental Report and has aggregate contributions and aggregate expenditures for the reporting period totaling \$2,000 or less. Short form reporting requires completion of only Section I, Section II, and Section III of this Disclosure Report.

<sup>2</sup> An Election Period is the two-year period between general election days if a candidate is seeking nomination or election to a two-year office and the four-year period between general election days if a candidate is seeking nomination or election to a four-year office.

**SECTION IV-DETAILED SUMMARY OF RECEIPTS AND DISBURSEMENTS**  
(If Necessary, Complete Schedules A through E Before Completing This Section)

RECEIPTS	COLUMN A TOTAL THIS PERIOD	COLUMN B ELECTION PERIOD TOTAL TO DATE	
11. Contributions From:			11
(a) Individuals/Other Entities/Noncandidate Committees/Political Parties			11(a)
(i) Monetary and Non-Monetary Contributions of \$100 or Less.....	275	700	11(a)(i)
(ii) Monetary and Non-Monetary Contributions of More Than \$100.....	7650	11565	11(a)(ii)
(iii) Subtotal (Add Lines 11(a)(i) and 11(a)(ii)).....	7925	12265	11(a)(iii)
(b) Candidate or Candidate's Immediate Family			11(b)
(i) Monetary and Non-Monetary Contributions of \$100 or Less.....	0	0	11(b)(i)
(ii) Monetary and Non-Monetary Contributions of More Than \$100.....	0	0	11(b)(ii)
(iii) Subtotal (Add Lines 11(b)(i) and 11(b)(ii)).....	0	0	11(b)(iii)
12. Total Contributions (Add Lines 11(a)(iii) and 11(b)(iii)).....	7925	12265	12
13. Public Funds and Other Receipts.....	0	0	13
14. Loans.....	1395.49	23947.44	14
15. Total Receipts (Add Lines 12 through 14).....	9320.49	36212.44	15
<b>DISBURSEMENTS</b>			
16. Expenditures.....	2264.14	28246.36	16
17. Loans Repaid or Forgiven.....	0	0	17
18. Unpaid Expenditures Paid or Forgiven.....	846.35	846.35	18
19. Subtotal Disbursements (Add Lines 16 through 18).....	3110.49	29092.71	19
20. Unpaid Expenditures.....	0		20
21. Total Disbursements (Add Lines 19 and 20).....	3110.49	29092.71	21

CHECK ONLY ONE BOX  
USE SEPARATE SCHEDULE(S) FOR EACH CATEGORY BELOW

☒ INDIVIDUALS/OTHER ENTITIES/NONCANDIDATE  
COMMITTEES/POLITICAL PARTIES

☐ CANDIDATE OR CANDIDATE'S IMMEDIATE FAMILY

STATE OF HAWAII  
CAMPAIGN SPENDING COMMISSION

SCHEDULE A  
MONETARY AND NON-MONETARY CONTRIBUTIONS  
CANDIDATE COMMITTEE

NO INFORMATION OR COPIES FROM THE REPORTS SHALL BE SOLD OR USED BY ANY PERSON FOR THE PURPOSE OF SOLICITING CONTRIBUTIONS OR FOR ANY COMMERCIAL PURPOSE.

CANDIDATE AND CANDIDATE COMMITTEE NAME:

FRANK T. LOCKWOOD; FRIENDS OF FRANK LOCKWOOD

PAGE 1 OF 2

DATE OF DEPOSIT OR RECEIPT OF NON-MONETARY CONTRIBUTION	FULL NAME, STREET ADDRESS, CITY, STATE AND ZIP CODE OF DONOR	FOR AGGREGATES OF \$1,000 OR MORE NAME OF EMPLOYER	AMOUNT OF CONTRIBUTION OR FAIR MARKET VALUE OF NON-MONETARY CONTRIBUTION THIS PERIOD	AGGREGATE ELECTION PERIOD TOTAL TO DATE
	IF A DEPENDENT MINOR, ENTER NAME OF PARENT	OCCUPATION		
10/24/06	<input type="checkbox"/> NON-MONETARY CONTRIBUTION JMAES LEAVITT, 735 BISHOP ST., STE. 433, HONOLULU, HI 96813	LEAVITT, YAMANE & SOLDNER	1,000.00	1,000.00
		ATTORNEY		
10/24/06	<input type="checkbox"/> NON-MONETARY CONTRIBUTION YUKIO TAKEMOTO, 98-1759 KUPUKUPU ST., AIEA, HI 96701		200.00	200.00
10/24/06	<input type="checkbox"/> NON-MONETARY CONTRIBUTION HAWAII OPTOMETRIC PAC, 94-239 WAIPAHU DEPOT ST., WAIPAHU, HI 96797		250.00	250.00
10/24/06	<input type="checkbox"/> NON-MONETARY CONTRIBUTION ROBERT J. BALL, 1370 MOKOLEA DR., KAILUA, HI 96734	WHITE SANDS REALTY	4,000.00	4,000.00
		REAL ESTATE BROKER		
10/25/06	<input type="checkbox"/> NON-MONETARY CONTRIBUTION HAWAII GOVERNMENT EMPLOYEES ASSOCIATION, P.O. BOX 2930 HONOLULU, HI 96802	N/A	1,000.00	1,000.00
		N/A		
11/3/06	<input type="checkbox"/> NON-MONETARY CONTRIBUTION IRONWORKERS LOCAL #625 AND HAWAII IRONWORKERS STABILIZATION FUND, 94-497 UKEE ST., WAIPAHU, HI 96797	N/A	1,000.00	1,000.00
		N/A		

1. SUBTOTAL OF MONETARY AND NON-MONETARY CONTRIBUTIONS THIS PERIOD (This Page).....

7,450.00

2. TOTAL MONETARY AND NON-MONETARY CONTRIBUTIONS THIS PERIOD (Last Page Only) (Transfer total  
to the applicable Line Number of the Disclosure Report - 11(a)(ii) or 11(b)(ii)).....

Form CC-5(A) (Rev. 5/99)

With the exception of loans and unpaid expenditures that are forgiven, non-monetary contributions must also be reported as an "Expenditure" on  
Schedule B.

CHECK ONLY ONE BOX  
USE SEPARATE SCHEDULE(S) FOR EACH CATEGORY BELOW

☐ INDIVIDUALS/OTHER ENTITIES/NONCANDIDATE  
COMMITTEES/POLITICAL PARTIES

☐ CANDIDATE OR CANDIDATE'S IMMEDIATE FAMILY

# STATE OF HAWAII CAMPAIGN SPENDING COMMISSION

## SCHEDULE A MONETARY AND NON-MONETARY CONTRIBUTIONS CANDIDATE COMMITTEE

NO INFORMATION OR COPIES FROM THE REPORTS SHALL BE SOLD OR USED BY ANY PERSON FOR THE PURPOSE OF SOLICITING CONTRIBUTIONS OR FOR ANY COMMERCIAL PURPOSE.

CANDIDATE AND CANDIDATE COMMITTEE NAME:

FRANK T. LOCKWOOD; FRIENDS OF FRANK LOCKWOOD

PAGE 2 OF 2

DATE OF DEPOSIT OR RECEIPT OF NON-MONETARY CONTRIBUTION	FULL NAME, STREET ADDRESS, CITY, STATE AND ZIPCODE OF DONOR	FOR AGGREGATES OF \$1,000 OR MORE NAME OF EMPLOYER	AMOUNT OF CONTRIBUTION OR FAIR MARKET VALUE OF NON-MONETARY CONTRIBUTION THIS PERIOD	AGGREGATE ELECTION PERIOD TOTAL TO DATE
	IF A DEPENDENT MINOR, ENTER NAME OF PARENT	OCCUPATION		
11/7/09	<input type="checkbox"/> NON-MONETARY CONTRIBUTION JUSTIN STURDIVANT SMITH & STURDIVANT, LLC 1188 BISHOP ST., STE. 3108, HONOLULU, HI 96813		200.00	200.00
		ATTORNEY		
	<input type="checkbox"/> NON-MONETARY CONTRIBUTION			
	<input type="checkbox"/> NON-MONETARY CONTRIBUTION			
	<input type="checkbox"/> NON-MONETARY CONTRIBUTION			
	<input type="checkbox"/> NON-MONETARY CONTRIBUTION			
	<input type="checkbox"/> NON-MONETARY CONTRIBUTION			
	<input type="checkbox"/> NON-MONETARY CONTRIBUTION			
1. SUBTOTAL OF MONETARY AND NON-MONETARY CONTRIBUTIONS THIS PERIOD (This Page).....			200.00	
2. TOTAL MONETARY AND NON-MONETARY CONTRIBUTIONS THIS PERIOD (Last Page Only) (Transfer total to the applicable Line Number of the Disclosure Report - 11(a)(ii) or 11(b)(iii)).....			7650.00	

Form CC-5(A) (Rev. 5/99)

With the exception of loans and unpaid expenditures that are forgiven, non-monetary contributions must also be reported as an "Expenditure" on Schedule B.

**STATE OF HAWAII  
CAMPAIGN SPENDING COMMISSION**

**SCHEDULE B  
EXPENDITURES  
CANDIDATE COMMITTEE**

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CANDIDATE AND CANDIDATE COMMITTEE NAME:

PAGE 1 OF 1

FRANK T. LOCKWOOD; FRIENDS OF FRANK LOCKWOOD

DATE OF EXPENDITURE	FULL NAME, STREET ADDRESS, CITY, STATE AND ZIP CODE OF VENDOR OR SOURCE OF NON-MONETARY CONTRIBUTION	PURPOSE OF EXPENDITURE OR DESCRIPTION OF NON-MONETARY CONTRIBUTION	AMOUNT OF EXPENDITURE OR FAIR MARKET VALUE OF NON-MONETARY CONTRIBUTION THIS PERIOD
10/24/06	<input type="checkbox"/> NON-MONETARY CONTRIBUTION PATSY MINK MIMORIAL LUNCH	TICKET FEE FOR POLITICAL LUNCHEON	25.00
10/24/06	<input type="checkbox"/> NON-MONETARY CONTRIBUTION FOODLAND, KAILUA, HI 96734	LEI FOR SIGN WAVING	14.56
10/24/06 10/26/06 11/1/06 11/2/06	<input type="checkbox"/> NON-MONETARY CONTRIBUTION HARDWARD HAWAII, 30 KIHAPAI ST., KAILUA, HI 96734	HARDWARE FOR SIGNS	77.25
11/7/06	<input type="checkbox"/> NON-MONETARY CONTRIBUTION SAFEWAY, HAMAKUA DR., KAILUA, HI 96734	REFRESHMENTS FOR VOLUNTEERS	31.33
10/24/06	<input type="checkbox"/> NON-MONETARY CONTRIBUTION HAWAII CAMPAIGN ELECTION FUND, 235 SOUTH BERETANIA, HONOLULU, HI 96813	REPORT FEE	50.00
11/6/06	<input type="checkbox"/> NON-MONETARY CONTRIBUTION KENEKE'S LOAIMANALO, HI	REFRESHMENTS FOR VOLUNTEERS	401.00
11/3/06	<input type="checkbox"/> NON-MONETARY CONTRIBUTION BED & BREAKFAST ASSOCIATION OF OAHU, INC., 111A HEKILI ST., KAILUA, HI 96734	REPAY EXCESS FMV OF NON-CASH CONTRIBUTION	1665.00

1. SUBTOTAL OF EXPENDITURES THIS PERIOD (This Page)..... 2264.14

2. TOTAL EXPENDITURES THIS PERIOD (Last Page Only) (Transfer total to Line Number 16 of the Disclosure Report)..... 2264.14

ATTACH A COPY OF THE  
EXECUTED LOAN DOCUMENT AT  
THE TIME OF INITIAL DISCLOSURE

STATE OF HAWAII  
CAMPAIGN SPENDING COMMISSION

SCHEDULE D  
LOANS  
CANDIDATE COMMITTEE

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CANDIDATE AND CANDIDATE COMMITTEE NAME:  
FRANK T. LOCKWOOD; FRIENDS OF FRANK LOCKWOOD

PAGE 1 OF 1

LOAN SOURCE	FULL NAME, STREET ADDRESS, CITY, STATE AND ZIP CODE OF LENDER NAME OF EMPLOYER AND OCCUPATION	AMOUNT OF LOAN AT BEGINNING OF THIS PERIOD	NEW LOAN AMOUNT THIS PERIOD	AMOUNT REPAID OR FORGIVEN THIS PERIOD	AMOUNT OF LOAN AT CLOSING OF THIS PERIOD
DATE OF LOAN	PURPOSE OF LOAN				
<input checked="" type="checkbox"/> CANDIDATE <input type="checkbox"/> IMMEDIATE FAMILY <input type="checkbox"/> FINANCIAL INSTITUTION <input type="checkbox"/> OTHER	FRANK T. LOCKWOOD, 1550 KANAPAUU DRIVE, KAILUA, HI 96734 LOCKWOOD & HARTLEY, ALC ATTORNEY	22551.95	1395.49	<input type="checkbox"/> FORGIVEN	23947.44
10/24 - 11/7/06	TO FUND CAMPAIGN EXPENSES				
<input type="checkbox"/> CANDIDATE <input type="checkbox"/> IMMEDIATE FAMILY <input type="checkbox"/> FINANCIAL INSTITUTION <input type="checkbox"/> OTHER				<input type="checkbox"/> FORGIVEN	
<input type="checkbox"/> CANDIDATE <input type="checkbox"/> IMMEDIATE FAMILY <input type="checkbox"/> FINANCIAL INSTITUTION <input type="checkbox"/> OTHER				<input type="checkbox"/> FORGIVEN	
<input type="checkbox"/> CANDIDATE <input type="checkbox"/> IMMEDIATE FAMILY <input type="checkbox"/> FINANCIAL INSTITUTION <input type="checkbox"/> OTHER				<input type="checkbox"/> FORGIVEN	
<input type="checkbox"/> CANDIDATE <input type="checkbox"/> IMMEDIATE FAMILY <input type="checkbox"/> FINANCIAL INSTITUTION <input type="checkbox"/> OTHER				<input type="checkbox"/> FORGIVEN	
<input type="checkbox"/> CANDIDATE <input type="checkbox"/> IMMEDIATE FAMILY <input type="checkbox"/> FINANCIAL INSTITUTION <input type="checkbox"/> OTHER				<input type="checkbox"/> FORGIVEN	
<input type="checkbox"/> CANDIDATE <input type="checkbox"/> IMMEDIATE FAMILY <input type="checkbox"/> FINANCIAL INSTITUTION <input type="checkbox"/> OTHER				<input type="checkbox"/> FORGIVEN	
<input type="checkbox"/> CANDIDATE <input type="checkbox"/> IMMEDIATE FAMILY <input type="checkbox"/> FINANCIAL INSTITUTION <input type="checkbox"/> OTHER				<input type="checkbox"/> FORGIVEN	
<input type="checkbox"/> CANDIDATE <input type="checkbox"/> IMMEDIATE FAMILY <input type="checkbox"/> FINANCIAL INSTITUTION <input type="checkbox"/> OTHER				<input type="checkbox"/> FORGIVEN	

1. SUBTOTAL (This Page).....

2. TOTAL NEW LOANS THIS PERIOD (Last Page Only) (Transfer total to Line Number 14 of  
the Disclosure Report).....

3. TOTAL LOANS REPAID OR FORGIVEN THIS PERIOD (Last Page Only) (Transfer total to Line Number 17 of  
the Disclosure Report).....

4. TOTAL LOANS AT THE CLOSING OF THIS PERIOD (Last Page Only) (Transfer total to Line Number 7 of the Disclosure Report)....

1395.49

1395.49

0.00

23947.44

Form CC-5(D) (Rev. 5/95)

If a loan is forgiven, the loan must also be reported as a "Non-Monetary Contribution" on Schedule A. The forgiven loan does not have to be reported as an "Expenditure" on Schedule B.